

INITIAL RAIL EQUIPMENT ACCIDENT/INCIDENT RECORD

DEPARTMENT OF TRANSPORTATION
 FEDERAL RAILROAD ADMINISTRATION (FRA)

OMB Approval No.: 2130-0500

1. Date of Accident/Incident (MM/DD/YYYY) 01/20/2016		2. Time of Accident/Incident 06:54		AM	X
3. Name of Railroad UNION PACIFIC RAILROAD		4. Incident Number 0116RS016			
5. Other Railroad SOLVAY CHEMICAL		6. Incident Number INDUSTRY			
7. Railroad Responsible for Track Maintenance SOLVAY CHEMICAL		8. Incident Number INDUSTRY			
9. Type of Accident/Incident (Derailment, Collision, Obstruction, Other) DERAILMENT					
10. Number of Hazmat Cars Damaged or Derailed 3			11. Number of Hazmat Cars Releasing Product 0		
12. Subdivision TRACY SUB	13. Nearest City/Town MARTINEZ		14. County CONTRA COSTA	15. State CA	
16. Milepost (to nearest tenth) 36.0		17. Specific Site ECO SYSTEMS INDUSTRY LEAD			
18. Speed 10		Actual	19. Train/Job Number		
		Estimated	X	N/A	
20. Type of Equipment (Freight, Passenger, Yard/Switching, etc.) CUT OF CARS			21. Type of Track (Main, Yard, Siding, Industry) INDUSTRY		
22. Total Locomotive Units in Train 0	23. Total Locomotive Derailed 0		24. Total of Cars in Equipment Consist 12	25. Total Cars Derailed 3	
26. Equipment Damage (in dollars) 6,501			27. Track, Signal, Way & Structure Damage (in dollars) 8,000		
28. Primary Cause H022			29. Contributing Cause N/A		
30. Casualties		Nonfatal	Fatal	Nonfatal	Fatal
Worker on duty - employee		0	0	0	0
Employees not on duty		0	0	0	0
Passengers on trains		0	0	0	0
Nontrespassers/on railroad property		0	0	0	0
Trespassers		0	0	0	0
31. Narrative Description (Be specified, and continue on separate sheet if necessary)					
ECO-SERVICES PERSONNEL WERE MOVING CARS WITHIN THEIR FACILITY WHEN A CUT OF 12 CARS ROLLED WEST OUT OF THEIR FACILITY DERAILING THREE CARS AT THE DERAIL PROTECTING THE TRACY MAIN LINE. UPON INVESTIGATION, IT WAS DISCOVERED THAT THE CUSTOMER FAILED TO APPLY A SUFFICIENT NUMBER OF HANDBRAKES TO THE CUT OF CARS TO PREVENT MOVEMENT. THERE WERE NO INJURIES INVOLVED.					
32. Was this accident/incident reported to the FRA? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>					
33. Name of Railroad Official CARL NAGY		34. Signature		35. Telephone Number 916 789 6120	36. Date initially signed/completed 01/20/2016
NOTE: This report is part of the reporting railroad's accident report pursuant to the accident reports statute and, as such shall not " be admitted as evidence or used for any purpose in any suit or action for damages growing out of any matter mentioned in said report . . ." 49 U.S.C. 20903. See 49 C.F.R. 225.7 (b).					