

RAIL EQUIPMENT ACCIDENT/INCIDENT REPORT

1. Name of Reporting Railroad UNION PACIFIC RAILROAD			1a. Alphabetic Code UP			1b. Railroad Accident/Incident No. 0116RS016					
2. Name of Other Railroad or Other Entity with Consist Involved SOLVAY CHEMICAL			2a. Alphabetic Code SVCZ			2b. Railroad Accident/Incident No. INDUSTRY					
3. Name of Railroad or Other Entity Responsible for Track Maintenance (single entry) SOLVAY CHEMICAL			3a. Alphabetic Code SVCZ			3b. Railroad Accident/Incident No. INDUSTRY					
4. U.S. DOT Grade Crossing Identification Number N/A			5. Date of Accident/Incident month day year 0 1 2 0 1 6			6. Time of Accident/Incident 06:54 AM <input checked="" type="checkbox"/> PM <input type="checkbox"/>					
7. Type of Accident/ Incident (Single entry in code box)			1. Derailment 2. Head on collision 3. Rear end collision			4. Side Collision 5. Raking collision 6. Broken train collision					
			7. Hwy-rail crossing 8. RR grade crossing 9. Obstruction			10. Explosion-detonation 11. Fire/violent rupture 12. Other impacts					
			13. Other (describe in narrative)			Code 1					
8. Cars Carrying HAZMAT 4		9. HAZMAT Cars Damaged/ Derailed 3		10. Cars Releasing HAZMAT 0		11. People Evacuated 0		12. Subdivision TRACY SUB			
13. Nearest City/Town MARTINEZ			14. Milepost (to nearest tenth) 36.0		15. State Abbr. CA	16. County CONTRA COSTA					
17. Temperature (F) (specify if minus) 47 + °F		18. Visibility (single entry) 1. Dawn 3. Dusk 2. Day 4. Dark		Code 2	19. Weather (single entry) 1. Clear 3. Rain 5. Sleet 2. Cloudy 4. Fog 6. Snow		Code 1	20. Type of Track 1. Main 3. Siding 2. Yard 4. Industry			
								4			
21. Track Name/ Number ECO SYSTEMS INDUSTRY LEAD			22. FRA Track Class (1-9, X) 1		23. Annual Track Density (gross tons in millions) N/A		24. Time Table Direction 1. North 3. East 2. South 4. West				
								4			
25. Type of Equipment Consist (single entry)			1. Freight Train 2. Passenger Train-Pulling 3. Commuter Train-Pulling 4. Work train			5. Single Car 6. Cut of cars 7. Yard/switching 8. Light loco(s)					
			9. Maint./inspect. Car A. Spec. MoW Equip. B. Passenger Train-Pushing C. Commuter Train-Pushing			D. EMU E. DMU					
						Code 6	26. Was Equipment Attended? 1. Yes 2. No				
							2	27. Train Number/Symbol N/A			
28. Speed (recorded speed, if available) R - Recorded E - Estimated 10 MPH			Code E	30. Type of Territory (enter code(s) that apply) Signalization (Mandatory) 1. Signaled 2. Not Signaled Method of Operation/Authority for Movement (Mandatory) 1. Signal Indication 2. Direct Train Control 3. Yard/Restricted Limits 4. Block Register Territory 5. Other Than Main Track Supplemental/Adjunct Codes (Mandatory*) * Mandatory to the extent that all applicable codes are entered [K] [] []				30a. Remotely Controlled Locomotive? 0 = Not a remotely controlled operation 1 = Remote control portable transmitter 2 = Remote control tower operation 3 = Remote control portable transmitter - more than one remote control transmitter Code 0			
29. Trailing Tons (gross tonnage, excluding power units) 525											
31. Principal Car/Unit			a. Initial and Number	b. Position in Train	c. Loaded (yes/no)	32. If railroad employee(s) tested for drug/alcohol use, enter the number that were positive in the appropriate box.					
(1) First Involved (derailed, struck, etc.)			GATX 1095	1	YES		Alcohol	Drugs			
(2) Causing (if mechanical, cause reported)			N/A	N/A	N/A						
						33. Was this consist transporting passengers? (y/n) N					
34. Locomotive Units (Exclude EMU, DMU, and Cab Car Locomotives.)			a. Head End	Mid Train b. Manual c. Remote		Rear End d. Manual e. Remote		35. Cars (Include EMU, DMU, and Cab Car Locomotives.)			
(1) Total in Train			0	0 0		0 0		a. Freight	b. Pass	c. Freight	
(2) Total Derailed			0	0 0		0 0		4	0	8	
								0	0	0	
								0	0	0	
36. Equipment Damage This Consist 6,501			37. Track, Signal, Way, & Structure Damage 8,000			38. Primary Cause Code H022			39. Contributing Cause Code N/A		
Number of Crew Members						Length of Time on Duty					
40. Engineers/ Operators 0		41. Firemen 0		42. Conductors 0		43. Brakemen 0		44. Engineer/Operator Hrs: N/A Mins: N/A		45. Conductor Hrs: N/A Mins: N/A	
Casualties to:			46. Railroad Employees		47. Train Passengers		48. Others:		49a. Special Study Block A		49b. Special Study Block B
Fatal			0		0		0		OTH		
NonFatal			0		0		0				
50. Latitude 38.025734						51. Longitude -122.113997					
52. Narrative Description (Be specific, and continue on separate sheet if necessary)											
ECO-SERVICES PERSONNEL WERE MOVING CARS WITHIN THEIR FACILITY WHEN A CUT OF 12 CARS ROLLED WEST OUT OF THEIR FACILITY DERAILING THREE CARS AT THE DERAIL PROTECTING THE TRACY MAIN LINE. UPON INVESTIGATION, IT WAS DISCOVERED THAT THE CUSTOMER FAILED TO APPLY A SUFFICIENT NUMBER OF HANDBRAKES TO THE CUT OF CARS TO PREVENT MOVEMENT. THERE WERE NO INJURIES INVOLVED.											
53. Typed/Printed Name & Title of Preparer JANETTE L. MCQUEEN MANAGER - SAFETY REPORTING						54. Signature			55. Date 01/27/2016		

NOTE: This report is part of the reporting railroad's accident report pursuant to the accident reports statute and, as such shall not " be admitted as evidence or used for any purpose in any suit or action for damages growing out of any matter mentioned in said report . . ." 49 U.S.C. 20903. See 49 C.F.R. 225.7 (b).